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#4
PATENT

ATTORNEY DOCKET NO.: 044481-5044

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: V. RAMAKRISHNAN *et al.*)
Application No.: 09/775,803) Group Art Unit:
Filed: February 5, 2001) Examiner:
For: TRANSGENIC ANIMALS HAVING A)
MODIFIED GLYCOPROTEIN V GENE)

Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

1. This replies to the Notice to File Missing parts of Application (PTO-1533) mailed March 9, 2001. A copy of the Notice To File Missing Parts Of Nonprovisional Application is enclosed.
2. Declaration Or Oath

No declaration or oath was filed. Enclosed is the original Combined Declaration and Power of Attorney

The specification attached to the declaration is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.

The declaration or oath which was filed was determined to be defective. A new original Combined Declaration and Power of Attorney is attached.

3. English Translation of Non-English Language Papers

Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.

10/12/2001 MBERHE 00000052 09775803

06 FC:228

980.00 OP

Also enclosed is the Verification of Translation Document

4. Small Entity Statement(s)

Verified Statement(s) that this is a filing by a small entity

Applicants qualify as a small business concern as defined in 37 CFR 1.27
 (a)(1) through (a)(3), for purposes of paying reduced fees under Section 41(a)
 and (b) of Title 35, United States Code.

5. Fee Calculation

				Basic Fee:	
				Patent Application-\$740.00	\$740.00
				Design Application-\$330.00	
	Number Filed	Number Extra	at a Rate of		
Total Claims	28 - 20 =	8	\$18.00 each=	+144.00	
Independent Claims	5 - 3 =	2	\$84.00 each=	+168.00	
Multiple dependent claim(s), if any			\$280.00	+280.00	
Missing Parts Surcharge Fee			\$130.00	+130.00	
SUB-TOTAL =				1462.00	
Reduction by 1/2 for filing by a small entity				- \$731.00	
SUB-TOTAL =				731.00	
Fee For Application Filed With A Non-English Specification (37 CFR 1.17(k) and 1.52(d))				\$130.00	+
Fee For Processing and retention of application (37 CFR 1.21(l) and 1.53(d))				\$130.00	+
TOTAL FILING FEE =				\$731.00	

6. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136(a) apply.

Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:

<u>Total months requested</u>	<u>Fee for extension</u>	<u>[fee for Small Entity]</u>
[] one month	\$ 110.00	\$ 55.00
[] two months	\$ 400.00	\$200.00
[] three months	\$ 920.00	\$460.00
[] four months	\$1,440.00	\$720.00
<input checked="" type="checkbox"/> five months	\$1,960.00	\$980.00

Extension of time fee due with this request: \$ 980.00

If an additional extension of time is required, please consider this a Petition therefor.

7. Fee Payment

The total fee due is: Completion Fees \$731.00
Extension Fees \$980.00

Total Fee Due **\$1,711.00**

 FEE IS BEING PAID BY CHECK

Check Nos. 112853 and 112872 are attached for the following:

Basic Filing Fee:	\$ 370.00
Five months extension to time	980.00
Additional claims fee including fee for multiple dependent claims	296.00
<u>surcharge for filing missing parts</u>	65.00
Total amount of checks:	\$1,711.00

Except for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R '1.16 and '1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

8. Additional papers enclosed.

Substitute Drawings (Figs. 1-9) – 12 sheets total
 Petition for Filing an Application Under 37 C.F.R § 1.47(a) with accompanying Statement of Facts in Support Thereof.
 Check No. 112967 in the amount of \$130.00 for Petition fee.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

Date: October 9, 2001



Lawrence J. Carroll
Reg. No. 40,940

CUSTOMER NO. 009629

MORGAN, LEWIS & BOCKIUS LLP

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/775,803	02/05/2001	Vanitha Ramakrishnan	44481-5044



Reid G. Adler
MORGAN, LEWIS & BOCKIUS LLP
1800 M Street, N.W.
Washington, DC 20036-5869

CONFIRMATION NO. 3916
FORMALITIES LETTER



OC000000005843301

Date Mailed: 03/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

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- Total additional claim fee(s) for this application is \$287.

■ \$72 for 8 total claims over 20.	01 FC:201	370.00	OP
■ \$80 for 2 independent claims over 3 .	02 FC:205	65.00	OP
■ \$135 for multiple dependent claim surcharge.	03 FC:203	72.00	OP
	04 FC:202	84.00	OP
	05 FC:204	140.00	OP

- The oath or declaration is missing.

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items indicated in this letter.

- The balance due by applicant is \$ 707.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

OP
63.00 OP

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

05/10/2002 AKELLEY 00000019 500310 09775803

01 FC:205

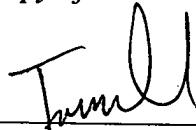
05/10/2002 AKELLEY 00000052 09775803
65.00 CH drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)).

Repln. Ref: 05/10/2002 AKELLEY sheet 00000019 include a top margin of at least 2.5 cm. (1 inch), a left side margin of 1.5 cm. (0.6 inches), and a bottom margin of 1.5 cm. (0.6 inches).
DAH:500310 Name/Number:09775803
FC: 704 \$9.00 CR

OP
63.00 OP
Adjustment: 05/10/2002 AKELLEY 00000052 09775803
10/12/2001 MBERHE 00000018 09775803
03 FC:203
01 FC:203

least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*



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PART 2 - COPY TO BE RETURNED WITH RESPONSE